



## SOCIAL APPRAISAL CHECKLIST

<b>Portfolio name/ Loan Agreement Component:</b>	
<b>Project name:</b>	
<b>Project number:</b>	
<b>Project Officer:</b>	
<b>Date:</b>	

**Instruction:** This checklist is to be completed as part of the appraisal process and presented to the Social Review Committee along with the Project Appraisal Report.

### 1. GENERAL

1.1. Are the objectives of the project clearly stated?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
1.2 Are the components clearly identified and consistent with problem?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
1.3. Are the project components relevant to the project objectives?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
1.4 Are the project components (outputs) adequate to achieve the objectives stated?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

### 2. PARTICIPATION

2.1 Are the direct beneficiaries of the project clearly identified?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2.2 Is there evidence of consultation with direct beneficiaries?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2.3. Does the project design facilitate the access of project benefits (goods, services, information) by vulnerable groups (elderly, disabled, etc.)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2.4 Was a stakeholder analysis completed for the project (public, private, civil society etc.)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2.5 Are the mechanisms for stakeholder engagement in the project clearly defined?	Yes <input type="checkbox"/>	No <input type="checkbox"/>



### 3. GENDER

3.1. Is the project concept relevant for the practical and strategic gender needs and priorities of both men and women?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.2 Is the target population described adequately? Data to be disaggregated by gender, age, etc.	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.3 Were both men and women consulted and involved in the project design?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.4 Are the roles, status, of both men and women in the community documented?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.5 Are the needs, demands and priorities of men and women in relation to the project clearly documented?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.6 Were the risks and possible adverse impact of the project in relation to both men and women considered?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.7 Was a gender-appropriate communication strategy developed for the project?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.8 Will there be gender balanced recruitment of project personnel?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.9 Are specific roles for men and women on the Project Committee pre-assigned?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.10 Could the project have an adverse impact on the target population?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>



#### 4. SOCIAL SAFEGUARDS

4.1 Does the project involve involuntary land acquisition resulting in physical and economic displacement?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
4.2 Has an action plan been developed to address involuntary land acquisition?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
4.3 Does the project require payment compensation to affected persons (PAPs) as a result of project activities.	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
4.4 Does the project involve indigenous or culturally indigenous groups (Rastafarian, Maroon, Tainos etc.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
4.5 Will the project have an adverse impact on the groups mentioned in 4.3?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>

#### 5. MANAGEMENT OF SOCIAL RISKS

5.1 Is there any risk of the project being opposed or undermined by powerful interest groups/individuals?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5.2 Will the project result in loss of employment/livelihood as a result of project activities?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5.3 Will the project result in loss of access or increased difficulty to access basic services (health, education, transportation, religion, recreation etc.)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5.4 Does the project present significant risk for leakages of project benefits to unintended beneficiaries?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5.5 Are the lists of social and other risks adequately identified and assessed?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5.6 Are there any political, religious or social risks that may occur?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5.7 Are the risk mitigation activities listed and adequate?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>



## 6. IMPLEMENTATION

6.1 Does the Appraisal report adequately outline the milestones for activities in the Implementation plan and are they adequate and realistic?	Yes <input type="checkbox"/> No <input type="checkbox"/>
6.1 Does the implementation plan identify all the inputs i.e. contracts for Goods, Works and Services that will contribute to the objective being met?	Yes <input type="checkbox"/> No <input type="checkbox"/>
6.2 Did the Officer sufficiently convince the Committee of the procurement methodology and the availability within the market?	Yes <input type="checkbox"/> No <input type="checkbox"/>
6.3 Which procurement methodology was selected?	Traditional JSIF Procured <input type="checkbox"/> CBC Methodology <input type="checkbox"/>
6.3 Did the Officer provide evidence of and/or readiness to transfer Terms of References and/or specifications etc. to allow for Transfer to Procurement within 3 days of Board approval?	Yes <input type="checkbox"/> No <input type="checkbox"/>

### TO BE COMPLETED BY SOCIAL REVIEW COMMITTEE

<b>Date of review by SRC</b>	
<b>Decision:</b>	
<b>Comments:</b>	
<b>Level of Social Risk</b>	High <input type="checkbox"/> Medium <input type="checkbox"/> Low <input type="checkbox"/>
<b>Reasons for Rejection</b> (list ref. number from table above)	

**SRC Chairperson**

**SRC Deputy Chairperson**

**SRC Convener**

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Date:

Date:

Date:

**High** – Risks identified exceeds project’s capacity for mitigation.

**Medium** – Risks identified can be mitigated but requires resources / actions outside of project scope/control.

**Low** – Risks identified acceptable and mitigation measures within project scope.